

JW 3738



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thirumani Sabanathan  
 Serial No. : 09/762,692  
 Filed : October 31, 2005  
 Title : OCCLUSION DEVICE

Art Unit : 3738  
 Examiner : Unknown  
 Conf. No. : 7840

**Mail Stop Amendment**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
 WITH 37 C.F.R. §§ 1.97-1.98**

Because this Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (2 pages) and copies of the cited non U.S. Patent documents are provided herewith, via hand delivery, in connection with the above-captioned application.

The documents cited on the Forms PTO-1449 are in the English language, with the exception of items noted below. Item AY, (Russian Patent No. SU 85231) is in the Russian language and is supplied with a certified English language translation (item BF). Item AZ (German Patent No. DE 9205797.7) is in the German language and is supplied a certified English language translation (item BG). Items AV and AX, (European Patent No. EP 204218 and German Patent No. DE 4101936, respectively) are in the German language and each are provided with Derwent English language abstracts (items BH and BI, respectively).

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 19, 2006

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Date of Deposit

*JM*

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Signature

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John Macalagay

Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following pending U.S., International and National Phase Applications that have one or more common inventors and/or are commonly owned:

<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Docket No.</u>
10/081,569	02/21/02	003004 (102D)
10/419,653	04/18/03	003005 (102E)
10/454,729	06/03/03	003006 (102F)
10/303,241	11/22/02	004003 (103C)
10/630,473	07/29/03	004008 (103H)
10/703,919	11/06/03	004009 (103I)
11/395,396	03/30/06	004010 (103J)
10/687,081	10/15/03	004011 (103K)
10/704,023	11/06/03	004012 (103L)
10/298,387	11/14/02	008001 (107)
11/071,850	03/02/05	009002 (108B)
11/092,123	03/28/05	009003 (108C)
10/384,899	03/06/03	012001 (116)
10/448,019	05/28/03	015002 (119B)
10/448,153	05/28/03	015003 (119C)
11/289,979	11/29/05	016002 (120B)
10/645,473	08/20/03	017001 (121)
10/275,995	04/07/03	018US1 (122US)
10/627,941	07/25/03	019001 (123)
10/887,337	07/08/04	020001 (124)
10/723,273	11/25/03	021001 (125)
11/004,560	12/03/04	022001 (126)
11/044,875	01/25/05	023001 (127)
11/067,025	02/25/05	024001 (128)
11/075,633	03/08/05	025001 (129)
11/186,293	07/20/05	026001 (130)
11/282,940	11/18/05	027001 (131)
11/174,040	06/29/05	029001 (125B)
60/777,933	02/28/06	030P01 (P132)

<u>Int'l Appl. No.</u>	<u>Filing Date</u>	<u>Docket No.</u>
PCT/US2005/07881	03/08/05	025WO1 (129PC)

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in

Applicant : Thirumani Sabanathan  
Serial No. : 09/762,692  
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Attorney's Docket No.: 17075-002001 / 0101  
Information Disclosure Statement

any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Date: April 19, 2006

  
Fred C. Hernandez  
Reg. No. 41,832

**PTO Customer No.: 20985**  
Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5625  
Facsimile: (202) 626-7796  
Email: fherandez@fr.com

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17075-002001	Application No. 09/762,692
<b>List of Patents and Publications for Applicant's Information Disclosure Statement</b> <small>(37 CFR §1.98(b))</small>			
<b>Applicant</b> <b>Thirumani Sabanathan</b>			
Filing Date October 31, 2005		Group Art Unit 3738	

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	20020062120	5/23/02	Perkins et al.			
	AB	20020077593	6/20/02	Perkins et al.			
	AC	20040158228	8/12/04	Perkins et al.			
	AD	20050015106	1/20/05	Perkins et al.			
	AE	20050061322	3/24/05	Lutz			
	AF	20050203483	9/15/05	Perkins et al.			
	AG	4710192	12/1/87	Liota et al.			
	AH	5246445	9/21/03	Lachia et al.			
	AI	5382261	1/17/95	Palmaz			
	AJ	5588424	12/31/96	Ensler et al.			
	AK	6287290	9/11/01	Perkins et al.			
	AL	6398775	6/4/02	Perkins et al.			
	AM	6527761	3/4/03	Soltesz et al.			
	AN	6585639	7/1/03	Kotmel et al.			
	AO	6709401	3/23/04	Perkins et al.			
	AP	6878141	4/12/05	Perkins et al.			
	AQ	6997918	2/14/06	Soltesz et al.			3/4/2003

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AR	01/02042	1/11/01	PCT			
	AS	02/094087	11/28/02	PCT			
	AT	03/105941	12/24/03	PCT			
	AU	04/064885	8/5/04	PCT			
	AV	204218	12/10/86	EP			x*
	AW	2324729	11/4/98	GB			
	AX	4101936	7/23/92	DE			x*

Examiner Signature	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office <i>APR 24 2006</i>	Attorney's Docket No. 17075-002001	Application No. 09/762,692
<b>List of Patents and Publications for Applicant's Information Disclosure Statement</b> <i>TRADEMARK</i>		Applicant Thirumani Sabanathan	
(37 CFR §1.98(b))		Filing Date October 31, 2005	Group Art Unit 3738

### **Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AY	852321	8/7/81	SU			X	
	AZ	9205797	6/17/92	DE			X	
	BA	94/26175	11/24/94	PCT				
	BB	95/32018	11/30/95	PCT				
	BC	96/39960	12/19/96	PCT				
	BD	98/48706	11/5/98	PCT				

x\* - An English language Derwent abstract is provided.

### **Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	BE	Perini et al. "Deformation and migration of Palmaz stents after placement in tracheobronchial tree" JVIR 10(2):209-215 (1999)
	BF	Certified English Translation of USSR patent 852321, published August 7, 1981, entitled "A Method of Treatment of Acute Purulent Diseases of the Lungs and Pleura in Children".
	BG	Certified English Translation of German patent G 92 05 797.7, published July 30, 1993, entitled "Self-expanding mesh basket used to occlude human hollow organs".
	BH	Derwent# 004823036 (citing European Application No. EP 86106933, published May 22, 1986) of European Patent No. EP204218
	BI	Derwent #009123698 (citing German Application No. DE 4101936, published January 21, 1991) of German Patent No. DE 4101936

Examiner Signature	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	